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# HERITAGE REPORTING CORPORATION

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# Before the FEDERAL COMMUNICATIONS COMMISSION Washington, D.C. 20554

In Re Applications of:

WESTEL SAMOA, INC.

WESTEL, L.P.
For Broadband Block C
Personal Communications
Systems Facilities

MM DOCKET No.: WT97-199
)
File No.: BPH-8805050A
)
File No.: BPH-8805050J
)

Suite 201 FCC Building 2000 L Street, N.W. Washington, D.C.

Monday, November 16, 1998

The parties met, pursuant to the notice of the Judge, at 9:40 a.m.

BEFORE: HON. ARTHUR I. STEINBERG Administrative Law Judge

#### APPEARANCES:

On behalf of Westel Samoa, Inc.; Westel, L.P.; Quentin L. Breen:

A. THOMAS CARROCCIO, ESQUIRE Bell, Boyd & Lloyd 1615 L Street, N.W. Suite 1200 Washington, DC 20036-5625 (202) 955-6829

# On Behalf of Anthony T. Easton:

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GEORGE LYON, ESQUIRE Lukas, Nace, Gutierrez & Sachs 1111 Nineteenth Street, NW Suite 1200 Washington, DC 20036 202-828-9467

## On Behalf of ClearComm, L.P.:

R. NICHOLAS SINGH, ESQUIRE Brown & Wood, LLP 815 Connecticut Avenue, N.W. Washington, DC 20006-4004 202-973-0654

## On Behalf of Federal Communications Commission:

JUDY A. LANCASTER Chief, Wireless Telecommunications Bureau Federal Communications Commission 2025 M Street, NW Washington, DC 20554 202-418-7584

# $\underline{I}$ $\underline{N}$ $\underline{D}$ $\underline{E}$ $\underline{X}$

Opening Remarks by Judge Arthur I. Steinberg 148

Hearing Began: 9:40 a.m. Hearing Ended: 3:08 p.m.

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- JUDGE STEINBERG: OK, we're on the record now.
- 3 This is the date that I set for the commencement of the
- 4 hearing in WT docket number 97-199 in the event that we did
- 5 not have an executed settlement agreement. And I just
- 6 wondered if someone could report to me as to what the status
- 7 of the settlement agreement was. I know that there'd been a
- 8 draft, and then it was finalized, and that it had a lot of
- 9 signatures, but not all. So if somebody could just give me
- 10 a status...
- MR. CARROCCIO: Your Honor, I think that maybe the
- 12 easiest thing would be to go around the table. I will tell
- 13 that from the perspective of both Westels and Mr. Breen,
- there has been negotiated an extensive settlement agreement,
- 15 what I would call a global settlement agreement, in this
- 16 proceeding, which references and incorporates other
- 17 agreements, including release agreements and agreements as
- 18 to the resolution of the Romulus Dissolution proceeding,
- 19 which is ongoing in Puerto Rico. All of the parties to this
- 20 proceeding before you are parties to this global settlement
- 21 agreement. There are additional parties, also, who have
- 22 reviewed and have indicated their acquiescence with the
- 23 settlement agreement. The settlement agreement has been
- 24 circulated from the perspective of the two Westels and Mr.
- 25 Breen, I can report to you at this time that those

- 1 agreements have been signed in facsimile, delivered back to
- 2 me, all three of the agreements requiring signature at this
- 3 time by the Westel parties or Mr. Breen, have in fact been
- 4 signed. I believe that the other parties to this proceeding
- 5 will give you similar reports on behalf of their, of the
- 6 parties they represent.
- JUDGE STEINBERG: OK, let me go to Mr. Singh.
- 8 MR. SINGH: I have...
- 9 JUDGE STEINBERG: Has ClearComm signed it?
- 10 MR. SINGH: Pardon me?
- JUDGE STEINBERG: Has...
- 12 MR. SINGH: Yes, I have with me facsimile
- 13 signature pages of ClearComm and Supercoat.
- 14 JUDGE STEINBERG: On behalf of Mr. Easton? Has
- 15 Mr. Easton signed?
- MR. LYONS: Yes, Your Honor. Mr. Lukas and I
- 17 spoke with Mr. Tanke earlier this morning, who informed us
- 18 that we have gotten the signatures to the agreements from
- 19 the California party. There are, I'll say a couple, there
- 20 may be more than a couple, but there are some signatures
- 21 that need to be obtained from parties in Puerto Rico, and we
- 22 have no reason to believe that we won't have them, it's just
- 23 that there were delays in getting the document to Puerto
- 24 Rico, and in getting it back. And the parties, including
- 25 the counsel for Mr. Easton in Puerto Rico, need to speak

- 1 with their client and obtain authorization to sign. And we
- 2 expect that to occur today.
- JUDGE STEINBERG: OK. Now, Mr. Breen had
- 4 something that had to be done in Puerto Rico, too.
- 5 MR. CARROCCIO: Your Honor, as far as Mr. Breen's,
- 6 the Breen Family Trust, the trustee of the Breen Family
- 7 Trust, has not yet executed, but the Breen Family Trust is
- 8 not a party to the proceeding before you.
- 9 JUDGE STEINBERG: But that's a condition of the
- 10 agreement.
- MR. CARROCCIO: It is an ultimate condition of the
- 12 agreement. We anticipate no problem with having the
- 13 trustee, it is more a mechanical, logistical issue than
- 14 anything else.
- JUDGE STEINBERG: OK. And...
- 16 MR. CARROCCIO: And, Your Honor, you should also
- 17 know, along those lines, that the parties have drafted
- 18 various settlement, or, I'm sorry, various pleadings for
- 19 courts and arbitration panels terminating the civil
- 20 proceedings amongst the various parties. Those are in
- 21 circulation to the respective attorneys to execute for
- 22 submission to the appropriate tribunal. Those have not yet
- 23 been executed, but again, at least from the Breen, Westel
- 24 perspective, we anticipate absolutely no problem, other than
- 25 mechanics and logistics.

- 1 JUDGE STEINBERG: OK, and on behalf of the
- 2 Wireless Bureau?
- 3 MS. LANCASTER: Wireless Bureau has executed the
- 4 settlement, Your Honor.
- JUDGE STEINBERG: OK, so basically the four
- 6 parties that I was primarily concerned with have executed
- 7 this settlement. And nobody anticipates any problem with
- 8 getting whatever additional signatures are needed very
- 9 shortly. Is that correct?
- 10 MR. CARROCCIO: That is correct from our
- 11 perspective, Your Honor.
- JUDGE STEINBERG: Now, where do we go from here?
- 13 Let me just say that I want to commend everybody,
- 14 congratulate everybody and thank everybody for doing what
- 15 was necessary to reach a settlement in this proceeding, and
- 16 in all the other proceedings. I think I said this before
- 17 off the record, and I'll it on the record, I think that this
- 18 is the best possible resolution of the case from public
- 19 interest standpoint. Namely, we let ClearComm and Mr. Breen
- 20 and the Westel parties commence constructing and operating
- 21 their facilities and affording service to the public
- 22 probably years before that would have been possible
- 23 otherwise. We end lots and lots of litigation, and that's
- 24 not only good from the Commission's perspective but it's
- 25 also good from the individual's perspective. Mr. Easton can

- 1 now basically get on with the rest of his life, and having
- 2 put this behind him, I'm sure it will be... he might not
- 3 like the settlement, but I don't think he would have liked
- 4 an endless years and years and years of litigation. And
- 5 from the public's perspective, and the Bureau's perspective,
- and the Commission's perspective, we save a whole lot of
- 7 public resources that could be put to other uses. I'm not
- 8 saying better uses, but I'm just saying other uses. So I
- 9 just want to express my appreciation for all the hard work
- 10 that's gone into this. I know it hasn't been easy, and I
- 11 know, hopefully nobody is happy with everything, except for
- 12 me.
- Now, where do we go from here? I know there is a
- 14 joint request that needs to be filed, but that, the time
- 15 period for filing that doesn't start running until we get
- 16 all the signatures, until the settlement agreement is
- 17 absolutely complete. Is that all that's left?
- MR. CARROCCIO: Your Honor, we believe that it's
- 19 certainly the next step. I would suggest that, while we
- 20 would love to have all of the signatures at the time we
- 21 present the agreement to Your Honor for approval, that if we
- 22 are all sufficiently comfortable with the status of things,
- 23 we may get it to you formally in advance, and then
- 24 supplement signatures at a later date, in order to simply
- 25 get the procedures rolling and to allow Your Honor to

- 1 address it at the earliest possible time.
- JUDGE STEINBERG: All right, so basically you
- 3 would file a joint request a little early, and give me a
- 4 chance to work up something, and then, but it wouldn't, and
- 5 then you'd file a supplement informing me that the other
- 6 signatures have been obtained, and then I would modify, if
- 7 necessary, anything that I was writing so that... OK. That
- 8 sounds fine.
- 9 MR. SINGH: And subject, of course, to the
- 10 understanding that if all the signatures are not obtained
- 11 for some reason, which we don't think is likely, but...
- JUDGE STEINBERG: Then I don't act. But I suppose
- 13 you'd notify me in that case.
- MR. SINGH: Of course.
- 15 JUDGE STEINBERG: So I could intervene again. OK.
- MS. LANCASTER: Your Honor, I would request that
- 17 we have some time frame in which these additional signatures
- 18 can be expected.
- JUDGE STEINBERG: How about early this week?
- 20 MS. LANCASTER: I would just like to hear from the
- 21 parties that still have signatures to obtain when they think
- 22 they will have them.
- 23 MR. LYONS: Mr. Tanke thought that the Puerto
- 24 Rican parties would be able to sign today. I have to add
- 25 the big caveat that we certainly had trouble getting action

- out of Puerto Rico, and I wouldn't hold my breath on that,
- 2 but I have been told to expect it today.
- JUDGE STEINBERG: Would it be helpful if I set a
- 4 date? And said that the additional signatures have to be
- 5 obtained by Wednesday?
- 6 MR. CARROCCIO: Your Honor...
- 7 MR. LYONS: Probably not, but...
- 8 MR. CARROCCIO: I would certainly say by week's
- 9 end would be a, by the end of this week, would certainly be
- 10 a good limitation on that, Your Honor. I would also mention
- 11 that we have been informed that the, I'm trying to remember,
- 12 I believe it's the Circuit Court or the Supreme Court in
- 13 Puerto Rico, the judge, the presiding judge in the Romulus
- 14 Dissolution proceeding has called a status conference for
- 15 this Wednesday. We anticipate that many of the attorneys in
- 16 Puerto Rico whose signatures are necessary will be in
- 17 attendance at that session, and we think that's going to be
- 18 an opportunity any laggard signatures. So I would say
- 19 Thursday or Friday is a better date for a deadline.
- JUDGE STEINBERG: So why don't the four of you hop
- 21 on a plane Tuesday evening...
- 22 MS. LANCASTER: That would be fine with me, Your
- 23 Honor, if you could get the FCC to agree to it.
- JUDGE STEINBERG: Well, this is an auction
- 25 proceeding, I thought they...

- 1 MS. LANCASTER: That's true.
- JUDGE STEINBERG: ...I thought they agreed you
- 3 could do anything in an auction proceeding, unlimited
- 4 budgets and all that. Let the record reflect -- and you
- 5 could probably pay for even the Judge to go down there.
- 6 MS. LANCASTER: That's perfectly all right with
- 7 me.
- JUDGE STEINBERG: Check with Gary.
- 9 MR. CARROCCIO: Your Honor, I would suggest that
- 10 the more appropriate time for that would be at such time as
- 11 you're ready to issue the ruling, it would be a little later
- 12 into the bad weather.
- 13 JUDGE STEINBERG: Just as long as it's beyond
- 14 hurricane season. OK. Why don't I set a date for Friday,
- 15 as to when the initial signatures must be obtained, so that
- 16 you can basically use that as a club for your own purposes
- in... I'm not going to issue a written order on it, it'll
- 18 just be an oral order.
- 19 MR. CARROCCIO: Your Honor, might I ask if we go
- 20 off the record for a couple of minutes, to discuss the
- 21 proofs that we would be making in the presentation to you
- of, have some procedural discussions?
- JUDGE STEINBERG: OK. Does everybody agree to
- 24 that? Or do you want to do it on the record? Why don't we
- 25 go off the record.

- 1 MR. CARROCCIO: We can always come back on.
- JUDGE STEINBERG: Right.
- 3 (Whereupon, the hearing went off the record at
- 4 9:53 a.m. to reconvene on the record 10:12 a.m.)
- JUDGE STEINBERG: OK, we're back on the record.
- 6 While we were off the record we discussed basically where we
- 7 go from here. And it was decided that we would reconvene at
- 8 2:30 p.m. today, at which point Mr. Carroccio, on behalf on
- 9 Mr. Breen and the Westel parties, would identify and offer
- 10 into evidence certain exhibits for the purpose of resolving
- 11 issue 3. Is that correct, Ms. Lancaster?
- MS. LANCASTER: Yes, sir.
- 13 JUDGE STEINBERG: And Mr. Lyon?
- MR. LYONS: Yes, sir.
- 15 JUDGE STEINBERG: And Mr. Carroccio?
- MR. CARROCCIO: Yes, sir. Your Honor, the only
- 17 question I would have is would it also resolve issue 2?
- 18 JUDGE STEINBERG: No. I'm not touching issue 2.
- MR. CARROCCIO: OK.
- 20 JUDGE STEINBERG: And Mr. Singh?
- 21 MR. SINGH: Yes.
- 22 JUDGE STEINBERG: OK. Issue 2 is basically a, I
- 23 view it as a factual issue. And the last clause of issue 3
- 24 is whether Westel Samoa, Westel LP and I think we added Mr.
- 25 Breen when he came in as a party, I think I said, you asked

- 1 me to enlarge that issue, and I said I don't need to because
- 2 it's implicit.
- 3 MR. CARROCCIO: Correct.
- 4 JUDGE STEINBERG: Whether they possessed the
- 5 requisite character qualifications and whether granting
- 6 their application can serve the public interest, convenience
- 7 and necessity. And I think a favorable resolution to that
- 8 implicitly would be a favorable resolution to issue 2, but I
- 9 don't want to do issue 2. I don't want to specifically
- 10 address it.
- OK, is there anything further that we need to do?
- 12 Then I will see you all at 2:30. And again, thank you very
- 13 much.
- 14 (Whereupon, the hearing recessed at 10:15 a.m. to
- 15 reconvene at 2:30 p.m.)
- 16 JUDGE STEINBERG: We're on the record, and as we
- 17 agreed this morning, the purpose of meeting here now is to
- 18 introduce the direct case exhibits of the Westel parties and
- 19 Quentin Breen. And some joint exhibits dealing with the
- 20 depositions of certain individuals. Let me turn the floor
- 21 over to Mr. Carroccio for the purpose of taking care of
- 22 identifying the exhibits and moving them into evidence.
- MR. CARROCCIO: Thank you, Your Honor. Your
- 24 Honor, on August 13, 1998 we delivered to Your Honor and to
- 25 all other parties in this proceeding a copy of the hearing

- 1 exhibits of the Westel parties. That consists of a cover
- 2 page, a table of contents, a 20 page declaration of Quentin
- 3 Breen, the sponsoring witness, and 11 attachments. Those
- 4 attachments and the declaration are numbered one through
- 5 114. I am handing to the court reporter at this time two
- 6 copies, and original and a copy, of the direct case. The
- 7 hearing exhibits of the Westel parties are our direct case.
- 8 I would ask that they be marked for identification and
- 9 accepted into evidence.
- JUDGE STEINBERG: OK, the total number of pages I
- 11 guess would be 116 because you've got the cover sheet and
- 12 the table of contents.
- MR. CARROCCIO: That is correct. Your Honor,
- 14 there are also 11 page dividers, but they're not...
- JUDGE STEINBERG: No, we don't count those. OK,
- 16 the document described will be marked for identification as
- 17 Westel Exhibit A. And the tabs, tabs one through 11, will
- 18 be similarly marked Westel Exhibit A Tab 1, Tab 2, Tab 3,
- 19 etc. OK, now, do you want to move... I'll also not that on
- 20 page 20 of the exhibit, bears the signature of Mr. Breen.
- 21 MR. CARROCCIO: That is correct, Your Honor. On
- 22 the basis of Mr. Breen's signed declaration under penalty of
- 23 perjury, I would move that Westel Exhibit A be entered into
- 24 the record.
- JUDGE STEINBERG: Any objection?

- 1 MS. LANCASTER: No objection, Your Honor.
- 2 MR. SINGH: No, Your Honor.
- MR. LYONS: Your Honor, I have no objection, but I
- 4 want to voice a brief caveat. For the purpose of making a
- 5 record for you to make a decision on Mr. Breen's
- 6 qualifications, Mr. Easton has no objection to the admission
- 7 of the Crawford exhibit. It needs to be understood,
- 8 however, that were this proceeding to be constituted again,
- 9 assuming the settlement agreement and the pending settlement
- 10 is not approved or for some reason we are back here for a
- 11 hearing, we would want to make it clear that we may have
- 12 individual objections to portions of the exhibit as they may
- 13 pertain to Mr. Easton, and certainly do not waive our
- 14 opportunity for cross examination as to matters involving
- 15 Mr. Easton. Given that it is the contemplation of the
- 16 settlement agreement that there would be no need for
- 17 decision on issue 1 involving Mr. Easton's qualifications,
- 18 at this time we have no objection.
- 19 JUDGE STEINBERG: Exhibit, Westel Exhibit A is
- 20 received.
- MR. CARROCCIO: Thank you, Your Honor.
- MS. LANCASTER: Your Honor, Mr. Lyon's comments
- 23 have made me think that perhaps I need to make a comment
- 24 along a similar vein regarding all of the exhibits that
- we're proffering, or that Mr. Carroccio is proffering, that

- 1 we certainly have no objection to them at this time because
- 2 they are being offered in support of the settlement that
- 3 we're going to be asking you to approve. However, should
- 4 this settlement agreement not become final, we again, we
- 5 would also like to keep our options open, and we certainly
- 6 hope that you would allow us to object to any or all of
- 7 these exhibits like we're back at step one. We're doing
- 8 this, we're not going to object at this time, with the
- 9 understanding that this does not waive our right to object
- 10 at any later date should we need to.
- JUDGE STEINBERG: OK. I should just explain that.
- 12 We discussed this briefly before we went on the record, and
- it was everyone's view that if something should happen and
- 14 the settlement should not go through, and we have to start
- 15 the hearing from scratch, then that's what we do. And that
- 16 the exhibits that we receive into evidence today would, I
- 17 guess, be... we'll just start again. And so nobody waives,
- 18 by not objecting today nobody waives any objections that
- 19 they may wish to raise to any of these exhibits at a future
- 20 date, should the worst happen.
- MR. CARROCCIO: Your Honor, I will state for the
- 22 record that the Westel parties proffered Exhibit A with that
- 23 understanding and understand the conditions and accede to
- 24 them.
- JUDGE STEINBERG: OK. Thank you. Now, does that

- 1 complete your presentation?
- MR. CARROCCIO: It does at this time, Your Honor.
- JUDGE STEINBERG: And now it's my understanding
- 4 that there are a number of joint exhibits that would like to
- 5 be offered?
- 6 MR. CARROCCIO: That is correct. And I believe
- 7 Ms. Lancaster is ready to make the joint submissions on
- 8 behalf of the Bureau and the Westel parties.
- 9 MS. LANCASTER: That's right. Your Honor, the
- 10 first exhibit that I would like to offer will be a joint
- 11 exhibit by Mr. Breen and the Bureau, and I am presenting two
- 12 copies to the Court Reporter and one copy for you. And it
- is a deposition of Mr. Quentin Breen that was taken on
- 14 December 12th, 1997, and ask that it be identified for the
- 15 record and admitted.
- JUDGE STEINBERG: OK. I'm not going to count the
- 17 pages. The document described will be identified as Joint
- 18 Exhibit 1.
- MS. LANCASTER: The next...
- 20 MR. CARROCCIO: Excuse me Your Honor...
- MS. LANCASTER: I'm sorry.
- JUDGE STEINBERG: I quess we'll offer them one at
- 23 a time. Is there any objection to the receipt of Joint
- 24 Exhibit 1?
- MR. SINGH: No, Your Honor.

- 1 MR. LYONS: Subject to my previous caveat, no,
- 2 Your Honor.
- MR. CARROCCIO: And Your Honor, I believe that...
- 4 JUDGE STEINBERG: It's a joint, it's part of
- 5 your...
- 6 MR. CARROCCIO: It is joint, and under the
- 7 circumstances, we obviously join in.
- 8 JUDGE STEINBERG: OK, Joint Exhibit 1 is received.
- 9 MS. LANCASTER: The next Exhibit, Your Honor, I
- 10 think you have my other copy of this, is, are excerpts from
- 11 the deposition of Quentin Breen that was taken on June 25th,
- 12 1998. And I apologize, I have not counted the pages of
- 13 this. I'm presenting two copies of that to the court
- 14 reporter for identification. I will be happy to count the
- 15 pages, if you would like me to.
- JUDGE STEINBERG: No, that's OK. We'll just...
- MS. LANCASTER: OK.
- 18 JUDGE STEINBERG: However many pages it is. And
- 19 this is...
- 20 MS. LANCASTER: Basically, Your Honor, this
- 21 excerpt is the Bureau's examination of Mr. Breen at that
- 22 time.
- JUDGE STEINBERG: OK, the document described will
- 24 be marked for identification as Joint Exhibit 2. Any
- 25 objection to its receipt?

- 1 MR. SINGH: No, Your Honor.
- MR. LYONS: Subject to my previous caveat, no,
- 3 Your Honor.
- 4 JUDGE STEINBERG: Joint Exhibit 2 is received.
- 5 MS. LANCASTER: The next exhibit that we'd like to
- 6 offer is the deposition of Rosalind Makris which was taken
- 7 on February 6, 1997, and I'm presenting two copies to the
- 8 court reporter for identification purposes.
- 9 JUDGE STEINBERG: Thank you.
- 10 MS. LANCASTER: This was the only deposition that
- 11 was taken of Ms. Makris, Your Honor.
- 12 JUDGE STEINBERG: The document described will be
- 13 marked for identification as Joint Exhibit 3. Any
- 14 objections to its receipt?
- MR. SINGH: No, Your Honor.
- MR. LYONS: Subject to my previous caveat, no,
- 17 Your Honor.
- JUDGE STEINBERG: Joint Exhibit 3 is received.
- 19 MS. LANCASTER: The next exhibit that I'd like to
- 20 offer is the deposition of Cynthia Hamilton, which was taken
- 21 on November 21st, 1997. I'm presenting two copies to the
- 22 court reporter and a copy to yourself.
- JUDGE STEINBERG: The document described will be
- 24 marked for identification as Joint Exhibit 4. Any
- 25 objections to its receipt?

- 1 MR. SINGH: No, Your Honor.
- JUDGE STEINBERG: Mr. Lyon?
- 3 MR. LYONS: Subject to my previous caveat, no,
- 4 Your Honor.
- JUDGE STEINBERG: Joint Exhibit 4 is received.
- 6 MS. LANCASTER: The last exhibit that I would like
- 7 to offer are excerpts from the deposition of Cynthia
- 8 Hamilton which was taken on July 14th, 1998. The exhibit
- 9 itself consists of 10 pages. It consists of the first few
- 10 pages of the deposition which identify the deposition and
- 11 the exhibits, and it also has the signature and
- 12 certification pages on the back of it, but basically it is
- 13 page 71 and 72 of the text of that deposition.
- JUDGE STEINBERG: Why don't you let me see a copy
- 15 of it.
- 16 MS. LANCASTER: OK. He said he didn't need a
- 17 copy, so I'm not sure I...
- 18 JUDGE STEINBERG: I'm just writing down...
- MS. LANCASTER: I'd be happy to give you another
- 20 copy of the full deposition if you'd like to just be able to
- 21 mark on it, Your Honor.
- JUDGE STEINBERG: No, I think that would be a
- 23 waste of paper.
- MS. LANCASTER: OK.
- JUDGE STEINBERG: You know, this is... you know

- 1 what, I've got the wrong one.
- MS. LANCASTER: OK.
- JUDGE STEINBERG: Oh. No, no. I did have it. I
- 4 was looking at Mr. Breen's deposition, and the pages didn't
- 5 look the same, and that's obviously why.
- I'm just making sure I've got the same... this one
- 7 fell out. I'll have to restaple.
- Now, why are... oh.
- 9 OK, let me note for the record that the copies of
- 10 the excerpts that are in the record are not executed by Ms.
- 11 Hamilton or by the court reporter, and I have in front of me
- 12 a file copy of the deposition of Cynthia Hamiloton which was
- 13 filed with the Commission on September 23rd, 1998, and my
- 14 copy shows that Ms. Hamilton, it's got the signature of Ms.
- 15 Hamilton. It was executed on the 19th day of August, 1998
- in San Mateo, California. So, it has been executed by Ms.
- 17 Hamilton. And the report's certificate which, in the
- 18 exhibit is not signed, was in fact signed by the reporter,
- 19 whose name is spelled there, but it's not dated.
- 20 MS. LANCASTER: Yes, sir, I was going to address
- 21 that. Unfortunately, because of the time constraints, I was
- 22 unable to determine which ones have been filed and which
- 23 haven't, because the computers at the Commission are down
- 24 this afternoon, and they've moved those particular offices
- 25 over to the Portals. So, I was just going to have to find

- 1 that out and let you know.
- JUDGE STEINBERG: OK. Let me just, the excepts of
- 3 the Cynthia Hamilton deposition dated June 25th, 1998 is the
- 4 cover page, which is page 1, then there's page 2, page 3,
- 5 page 4, page 5, page 6, pages 71 and 72 and page 226, and
- 6 then the reporter's certificate, which is unpaginated but it
- 7 follows Cynthia Hamilton's certificate. And those excerpts
- 8 are marked for identification as Joint Exhibit 5. Any
- 9 objection to their receipt?
- 10 MR. SINGH: No objection, Your Honor.
- JUDGE STEINBERG: Mr. Lyon?
- MR. LYONS: Subject to my previous caveat, no,
- 13 Your Honor.
- 14 JUDGE STEINBERG: OK, then Joint Exhibit 5 is
- 15 received. OK, I already put on the record that Ms.
- 16 Hamilton's deposition was, her July 14th, '98 deposition was
- 17 filed with the Commission on September 23rd, 1998. Let me
- 18 also put on the record the, this has got to do with Joint
- 19 Exhibit 2. The Quentin Breen June 25th, 1998 deposition,
- that was filed with the Commission on September 11th, 1998.
- 21 And... OK, I don't have Mr. Breen's signature on this one.
- 22 I mean, Mr. Carroccio would have to represent that he did,
- 23 in fact, sign it.
- MR. CARROCCIO: Your Honor, I do not know if, in
- 25 fact, it was signed and returned by the time it was

- 1 circulated. I do know we were already off on settlement
- 2 discussions. But I also believe that Mr. Breen has no
- 3 objections or material corrections to anything that is
- 4 reflected there.
- JUDGE STEINBERG: OK. I don't see that it's a
- 6 problem. In any event, it has been filed. Does that
- 7 conclude your presentation?
- 8 MS. LANCASTER: Yes, sir.
- JUDGE STEINBERG: OK, is there anything anybody
- 10 else wants to add to the "record"? Mr. Singh?
- MR. SINGH: No, Your Honor.
- JUDGE STEINBERG: Mr. Lyon?
- MR. LYONS: No, Your Honor.
- JUDGE STEINBERG: And Mr. Carroccio, you're all
- 15 finished?
- MR. CARROCCIO: We are finished, Your Honor.
- 17 JUDGE STEINBERG: And Mr. Lancaster's finished.
- MS. LANCASTER: Yes, Your Honor.
- JUDGE STEINBERG: OK, then at this time I'll close
- 20 the record. And I'll issue a brief order confirming the
- 21 fact that the record was closed. The record's different
- 22 from off the record. OK, now is there anything else that we
- 23 need to discuss before we recess, preferably forever?
- 24 MR. CARROCCIO: Your Honor, I don't think there's
- 25 anything we need to discuss on the record.

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1
               JUDGE STEINBERG: OK. Mr. Lyon?
 2
               MR. LYONS: No, Your Honor.
               JUDGE STEINBERG: Mr. Singh?
               MR. SINGH: No, Your Honor?
 4
 5
               JUDGE STEINBERG: And Ms. Lancaster?
 6
               MS. LANCASTER: No, sir.
 7
               JUDGE STEINBERG: OK, then we'll go off the record
     at this time.
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 9
                (Whereupon, the meeting was adjourned at 3:08
     p.m.)
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## REPORTER'S CERTIFICATE

FCC DOCKET NO.: WT97-199

CASE TITLE: In Re: WESTEL SAMOA

**HEARING DATE:** November 16, 1998

Washington, D.C. LOCATION:

I hereby certify that the proceedings and evidence are contained fully and accurately on the tapes and notes reported by me at the hearing in the above case before the Federal Communications Commission.

Date: <u>1/16/9</u>¥

Heritage Reporting Corporation

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I hereby certify that the proceedings and evidence were fully and accurately transcribed from the tapes and notes provided by the above named reporter in the above case before the Federal Communications Commission.

Date: 11/20/98

\_\_Elizabeth DiNorma Elylah Di flora

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#### PROOFREADER'S CERTIFICATE

I hereby certify that the transcript of the proceedings and evidence in the above referenced case that was held before the Federal Communications Commission was proofread on the date specified below.

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